



SENATOR DENNIS G. RODRIGUEZ, JR.

December 9 2014

Honorable Benjamin J.F. Cruz
Acting Speaker
I Mina'Trentai Dos Na Liheslaturan Guåhan
155 Hesler Place
Hagåtña, Guam 96910

VIA: The Honorable Rory J. Respicio
Chairperson, Committee on Rules

RE: Committee Report – The Executive Appointment of Mr. Thomas C. Babauta as a Member of the Guam Board of Social Work.

Dear Speaker Cruz:

Transmitted herewith, for your consideration, is the Confirmation Report on the **The Executive Appointment of Mr. Thomas C. Babauta as a Member of the Guam Board of Social Work**, and was referred to the Committee on Health & Human Services, Health Insurance Reform, Economic Development and Senior Citizens. Confirmation hearing was conducted on October 24, 2014

Committee votes are as follows:

<u> 2 </u>	TO CONFIRM
<u> 1 </u>	NOT TO CONFIRM
<u> 1 </u>	ABSTAIN
<u> 1 </u>	TO REPORT OUT ONLY
<u> 1 </u>	TO PLACE IN INACTIVE FILE

2014 DEC 11 PM 3:42

Senseramente,

Senator Dennis G. Rodriguez, Jr.
Chairman

Attachments



SENATOR DENNIS G. RODRIGUEZ, JR.

COMMITTEE REPORT ON

The Executive Appointment of Mr. Thomas C. Babauta as a Member of the Guam Board of Social Work.




SENATOR DENNIS G. RODRIGUEZ, JR.

December 9 2014

MEMORANDUM

To: **ALL MEMBERS**
Committee on Health & Human Services, Health Insurance Reform, Economic Development and Senior Citizens.

From: **Senator Dennis G. Rodriguez, Jr.** 
Committee Chairperson

Subject: **Committee Report on The Executive Appointment of Mr. Thomas C. Babauta as a Member of the Guam Board of Social Work.**

Transmitted herewith, for your consideration, is the **Committee Report on The Executive Appointment of Mr. Thomas C. Babauta as a Member of the Guam Board of Social Work.**

This report includes the following:

- Committee Voting Sheet
- Committee Report Narrative/Digest
- Copy of Appointment packet
- Public Hearing Sign-in Sheet
- Copies of Submitted Testimony and Supporting Documents
- Copy of COR Referral
- Notices of Public Hearing (1st and 2nd)
- Copy of the Public Hearing Agenda
- Related News Articles (Public hearing publication of public notice)

Please take the appropriate action on the attached voting sheet. Your attention to this matter is greatly appreciated. Should you have any questions or concerns, please do not hesitate to contact me.

Si Yu'os Ma'ãse'!

Attachments



SENATOR DENNIS G. RODRIGUEZ, JR.

COMMITTEE VOTING SHEET

On The Executive Appointment of Mr. Thomas C. Babauta
as a Member on the Guam Board of Social Work.

	SIGNATURE	TO CONFIRM	NOT TO CONFIRM	ABSTAIN	REPORT OUT ONLY	PLACE IN INACTIVE FILE
DENNIS G. RODRIGUEZ, Jr. Chairman		✓				
V. ANTHONY ADA Vice Chairman		✓				
BENJAMIN J. F. CRUZ Vice-Speaker		✓				
TINA ROSE MUNA-BARNES Legislative Secretary						
FRANK B. AGUON, Jr. ^{12/9/14}		✓				
RORY J. RESPICIO		✓				
ALINE A. YAMASHITA, Ph.D.						
THOMAS MORRISON		✓				
MICHAEL LIMTIACO						
BRANT T. MCCREADIE						
CHRISTOPHER M. DUENAS		✓				



SENATOR DENNIS G. RODRIGUEZ, JR.

COMMITTEE REPORT DIGEST

I. OVERVIEW

The Committee on Health and Human Services, Health Insurance Reform, Economic Development and Senior Citizens convened a public hearing on **Friday, October 24, 2014 at 2:30pm** in *I Liheslatura's* Public Hearing Room.

Among the items on the agenda was the consideration of the appointment of **The Executive Appointment of Mr. Thomas C. Babauta as a Member of the Guam Board of Social Work**, and whose appointment history is as follows:

- Appointed by Governor Edward J.B. Calvo on October 15, 2014.
- Appointment packet forwarded to *I Liheslatura* on October 16, 2014;
- Appointment packet forwarded to this committee for public consideration on October 16, 2014.

Public Notice Requirements

Notices were disseminated via electronic-mail to all senators and all main media broadcasting outlets on **Thursday, October 17, 2013** (5-Day Notice), and again on **Tuesday, October 21, 2013** (48-Hour Notice).

Senators Present

Senator Dennis G. Rodriguez, Jr.	Chairperson
Senator V. Anthony Ada	Vice-Chairperson
Senator Rory J. Respicio	Member
Senator Tina Muna Barnes	Member
Senator Frank Aguon, Jr.	Member
Senator Brant McCreadie	Member
Senator Christopher M. Duenas	Member
Senator Tommy Morrison	Member
Senator Michael F.Q. San Nicolas	

II. SUMMARY OF TESTIMONY & DISCUSSION

The public hearing was Called-to-Order at **3:24pm**.

Chairman Rodriguez: We will first start of with the Executive Appointment of Mr. Babauta. We have several people who have signed up to testify orally in favor or are just here to support his appointment. I'd like to call on AmySue Santos, please come forward and also Ms. Hope Cortez,

Chairman, Committee on Health & Human Services, Health Insurance Reform, Economic Development, & Senior Citizens

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SENATOR DENNIS G. RODRIGUEZ, JR.

okay she had to leave and Guadencia to please come forward. And the rest who signed up just wanted to state they are in favor of the appointment. Thank you for being here.

Amy Sue Santos: Please read written testimony in FULL SUPPORT of the nominee, attached.

Chairman Rodriguez: Thank you Amy Sue. Now Ms. Burns.

Guadencia Palomo-Burns: Palomo-Burns.

Chairman Rodriguez: Yes, Palomo-Burns.

Guadencia Palomo-Burns: Provided testimony in FULL SUPPORT of the nominee.

Chairman Rodriguez: Thank you very much Ma'am. Are there any other questions for the panel we have in front of us here? Senator Barnes, thank you for joining us. If there's no questions, that'll be all. Thank you for being here. We will now call the nominee, Mr. Babauta, to please come forward. Just for the record I just want to read off on those who signed in favor: Ms. Barbara Bernardo, Lynn San Nicolas, Jenae Chimp, Antoinette Marie Babauta, Hope Pangelinan Cortez, Tricia Taitague, Warren Lampa, thank you very much.

Mr. Babauta, thank you for being here and congratulations on your appointment. I want to recognize your wife and your lovely children, (inaudible) and Maya. Thank you for being here.

Thomas Babauta: Please read nominee's written and emotional testimony, attached.

Chairman Rodriguez: Thank you very much for your testimony. And now may I ask any of my colleagues, Mr. Vice Chairman.

Vice Chairman Ada: Thank you Mr. Chair. Mr. Babauta, thank you for your service to our island, our community and now stepping up to the place to be a member of the Social Worker Board. As I'm looking at your packet, it's just unbelievable, your accomplishments and where you've been. It's just unbelievable that you have enough time to also put into the social work board.

Mr. Babauta: I don't but I will make the time. It's a priority.

Vice Chairman Ada: So then I have to ask, is it okay for your daddy to come unto the board? (Addressing children) Yes, it's okay? So they fully support you, you have my full support and my vote to the board. Thank you Mr. Chairman.

Senator Respicio: Thank you Mr. Chairman. You also have my support Tom. I'm just looking at the back of the room and Maya is very emotional because you mentioned her grandpa. But I want



SENATOR DENNIS G. RODRIGUEZ, JR.

to let her know, that everybody knows that not only listening to your testimony, but the work over the years, your dad is very proud. So thank you for your service and thank you for accepting the governors nomination and you have my support.

Senator Aguon: Thank you Mr. Chairman and thank you for also scheduling this confirmation hearing today, because I know that you expedited it. Mr. Babauta, just a couple of items. You know when I first sat down, my good colleague to my immediate right, reminded me that you graduated from Father Duenas. And apparently, one of my caveats is that if you are from the south, or you graduated from Father Duenas, you automatically have my support. So I wanted to open up with that particular statement.

Just a couple items of your overall presentation, thank you for leaning over forward and offering yourself to the people of Guam and offering your expertise, not only do you have a lovely family to take care of, but you are also working in the Dept of Education in the Special Education Division. And we know that in itself is time consuming and it takes a strong heart, so to speak, to provide our students in our schools as well as the department. But looking at this and looking at how you are able to manage your office. You still have your office?

Mr. Babauta: Yes.

Senator Aguon: And you are operating off of that, and then serving in the National Guard and extending yourself beyond our island community to the entire nation speaks volumes in terms of not only your commitment to your family, your commitment to your job, your commitment to our island community and our nation, so I thank you for offering yourself and I look forward to your contributions to the board. Thank you, FDMS Class of 88, you have my support. Thank you Tom.

Senator Duenas: Thank you. You know Tom, in my days as Director of the Department of Youth Affairs and the many social work engagements that you have been a part of in working with Dr. Rapadas and so many other folks, you are certainly not only suited, but very well suited for this board so you certainly have my support. I know how it feels, in your explanation of your father, I just lost my dad a few months ago. One thing my family always tells me, and I can see it in you Tom, is that one of the things we have to do when we lose a loved one is that they still live in us. So that all the lessons that your pop taught you and everything else, in your testimony, your service not only, what you do now, but on this board, will be a reflection of everything you were taught and who you are. So, stepping up to the plate and doing another thing for our community simply just embodies that spirit that lives within you. So I ask you to do it with vigor and conviction and you



SENATOR DENNIS G. RODRIGUEZ, JR.

certainly have my vote. I hope we can move this forward in a session soon, if not today. Thank you Mr. Chairman.

Senator Muna Barnes: Mr. Babauta I want to thank you for your support to the island of Guam and your family who are here and also (inaudible remainder of Senator's comments).

Senator Morrison: Thank you Mr. Babauta for your passionate testimony. I also want to thank all those who came before you in testimony in support of you. I'm just very encouraged with the administration in working and sorting and finding the cream of the crop who really meet the quality that you bring. So I just want to thank you for stepping up and wanting to serve our community and you have my full support.

Senator McCreddie: Senator Muna Barnes hit it right on the head. That was the best testimony ive seen in my two years here, my first term. I learn something new every day. And what I learned today is that we need more people like you to step forward and serve. Whether it's on this side of the aisle or where you choose right now for your path in life. You know, you are exactly what this island needs. And with that you have my full support. I just had a question because it was asked to me yesterday at a debate and we're not the experts, although sometimes we pass ourselves off as experts, we're not the experts, you guys are the experts. No disrespect to anyone on the panel, but speaking of myself as well. I going to ask the question because it was asked to me and I answered it. The question was, how can you as a leader, improve the state of our public health social services? And my answer was that I thought we could do a better job of specifically finding the problems that we need to solve and addressing it that manner. Whether it's hiring new or specific health care providers or people like yourself. Do you see a one size fits all for our island or do you see a lot of different pockets of social work that is needed out there, and if you do, what is the foundation because of these problems?

Mr. Babauta: I'll try to answer, that's a pretty loaded question. One of the things, one of the reasons I fell in love with the social work profession, I initially was going to be a nurse. I was enrolled up at UOG for 2 years in the nursing program. My dad kept pushing me, he was like you know, you should be a nurse because everyone is going into business and there's not that many nurses, there is longevity and job security and my heart wasn't in it, but I got into the nursing program, I was up there and I wanted to go to school off island and to get off island, I didn't realize how competitive the nursing field is, so there were 800 applicants at the University of Utah for 80 slots. It was really competitive. So I took on these classes thinking, what if I don't get in and I stumbled on a social work class and I found there were social workers in almost every professional setting including industrial social workers who look a productivity, look at morale, they look at work load, they look at how can we have a better work ethic. You have social workers in family

Chairman, Committee on Health & Human Services, Health Insurance Reform, Economic Development, & Senior Citizens

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SENATOR DENNIS G. RODRIGUEZ, JR.

advocacy, in schools, mental health social workers, so I was thinking if I ever got tired in one area, I could slide over. Now, when I look back on it, the first job you have, typically, you get a lot of experience in there and you kind of lock yourself in. I intend to look at, because there is so many different ways to look at it, so many different areas, there wouldn't be one kind of thing. But NASW outlines our basic tenets, our basic foundations and if you apply those, like I mentioned before about the core values, if you apply that and look at what's in the best interest of the client you have you are always going to come out on top. A few years ago, we were looking at transformational change and the public health model, I remember reading a book about having some issues in underdeveloped country and they were finding that some kids were not nourished, so they were trying to figure out how can we get these education out there, how can we teach these families, how can we get these families to adopt these changes that we know are important. And they kept failing. So they looked at it from a different angle. Who is doing what? Who is getting fed? Who is thriving? And when they looked at that and they studied and saw what was going on there, they said let's see if we can get them to teach the others. I'm a firm believer that if we look from different angles, we'll find a solution one way or another. And what we found in that case is, once you have the people with the buy-in and they know what they are doing, they infected the people in their community. So you got to start where the client is, figure out what their perspective is, because our perception is our reality. If you are in the social work field, then the school system or mental health, you got to look at it from that angle you got to get down, like I work with kids, I get down on their level because I want to connect with them and understand what they are feeling and from there I want to come in with these basic values, you can meet their needs. I hope that answered you.

Senator McCreadie: That last part answered my entire question. That was very (inaudibles from panelists). Thank you, I just want to say you have my full support and I can officially now say that you have a beautiful family. My first tour was with your two kids, I took them into the session hall. Thank you, you have my full support.

Chairman Rodriguez: Tom thank you for taking up the call and congratulations on your appointment. We move quickly to have this hearing and as soon as we get all that is needed, we will get the committee report ready and ensure that it is placed on the next session agenda that is called. I want to also thank the chair of the Guam Social Work Board Gaudencia for being here for the work her and the other members and all the social workers have been putting into really getting this law moving forward. And so our commitment is still, we affirm that we will stay committed that we get this on track and we get everything moving forward. So, thank you very much. This hearing is adjourned at 3:49pm.



SENATOR DENNIS G. RODRIGUEZ, JR.

III. FINDINGS & RECOMMENDATIONS

The Committee on Health and Human Services, Health Insurance Reform, Economic Development and Senior Citizens hereby reports on the **Executive Appointment of Mr. Thomas C. Babauta as a Member of the Guam Board of Social Work** with the recommendation to

Confirm.



EDDIE BAZA CALVO
Governor


RAY TENORIO
Lieutenant Governor

Office of the Governor of Guam

OCT 15 2014

Honorable Judith T. Won Pat, Ed.D.
Speaker
I Mina'trentai Dos Na Liheslaturan Guåhan
155 Hesler Street
Hagåtña, Guam 96910

32-14-2128
Office of the Speaker
Judith T. Won Pat, Ed.D

Date: 10-16-14
Time: 10:02am
Received By: 

RE: Board Appointment

Dear Madame Speaker:

By virtue of the authority vested in me pursuant to the Organic Act of Guam and the local laws applicable to the following position, I am pleased to transmit the following appointment and supporting documents for:

APPOINTEE: Thomas C. Babauta
POSITION: Social Worker Member, Guam Board of Social Work
TERM LENGTH: Three (3) years
TERM SERVED: December 26, 2013 to December 25, 2016

The appointment is subject to the consent of *I Liheslaturan Guåhan*. Please schedule a hearing at your earliest convenience.

Senseramente,


EDDIE BAZA CALVO

2014 OCT 17 11:10:12 AM

2128



EDDIE BAZA CALVO
Governor

RAY TENORIO
Lieutenant Governor

Office of the Governor of Guam

OCT 15 2014

Thomas C. Babauta
142 MELISSA LN KAISER
DEDEDO GU 96929

Dear Mr. Babauta:

Thank you for your commitment to serve the people of Guam. The Calvo-Tenorio administration continues to face unprecedented challenges, both near and long-term. The task ahead of us will require the collective efforts of the best minds who will have the courage to make tough decisions for the good of all our people. I hereby appoint you to serve in the Calvo-Tenorio administration for the remainder of the unexpired term indicated below as:

Social Worker Member, Guam Board of Social Work

Length of term: Three (3) Years

Term served: December 26, 2013 to December 25, 2016

This appointment is subject to the advice and consent of *I Liheslaturan Guåhan*. Please contact the Office of the Governor at 472-8931 should have any further questions.


Senseramente,



EDDIE BAZA CALVO

P.O. Box 2950 • Hagatna, Guam 96932

Tel: (671) 472-8931 • Fax: (671) 472-4826 • governor.guam.gov • calendar.guam.gov

 Eddie Baza Calvo



@eddiebazacalvo



@governorcalvo



governordjguam



OFFICE OF THE GOVERNOR
GUAM

Boards & Commissions Nomination Information

The following information is required for submission to the Speaker of *I Liheslaturan Guahan* in accordance with Title 4, Guam Code Annotated Section 2103.5 and Section 13104.1:

NOMINEE INFORMATION

Last Name Babauta First Thomas M.I. C. Date 10/08/2014

Address [Redacted] Apartment/Unit #

City [Redacted] State Guam ZIP 96929

Phone [Redacted] E-mail Address tcbabauta@gmail.com

Position to which Appointment is Made Guam Board of Social Work

Are you a citizen of the United States? YES NO If no, are you authorized to work in the U.S.? YES NO

EDUCATION

High School Father Duenas Memorial School City/State Mangilao, Guam

From 08/1984 To 06/1988 Did you graduate? YES NO Degree High School Diploma

College Weber State University City/State Ogden, Utah

From 08/1990 To 06/1993 Did you graduate? YES NO Degree BSW

EDUCATION

Graduate School Our Lady of the Lake University City/State San Antonio, Texas

From 08/1993 To 08/1994 Did you graduate? YES NO Degree MSW

Other Degree N/A City/State N/A

From To Did you graduate? YES NO Degree

EMPLOYMENT HISTORY

(1) Present Employer Guam Dept. of Education, SpEd Position Psychologist

Address 500 Mariner Avenue Barrigada, GU 9 Dates Held December 2000 - Present

(2) Previous Employer Guam Dept. of Education, SpEd Position Psychiatric Social Worker

Address 500 Mariner Ave. Barrigaga GU 9691 Dates Held March 1999 - December 2000

(3) Previous Employer Guam Dept. of Mental Health & Subs Position Psychiatric Social Worker Supervisor

Address 790 Gov. Carlos Camacho Rd. Tamur Dates Held September 1997-March 1999

(4) Previous Employer Guam Dept. of Mental Health & Subs Position Psychiatric Social Worker I

Address 790 Gov. Carlos Camacho Rd. Tamur Dates Held September 1994 - September 1997

(5) Previous Employer The Office of Tom Babauta Position Individual, Marriage & Family Therapist

Address 280 Pale San Vitores Rd. Apt 205 Tar Dates Held August 2009- Present

** Employment History continued ****PRIOR GOVERNMENT OF GUAM SERVICE**

Agency Phone

Address

Job Title From: To

Continuation of Employment History

EDUCATION

Graduate School		City/State			
From	To	Did you graduate?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	Degree
Other Degree		City/State			
From	To	Did you graduate?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	Degree

EMPLOYMENT HISTORY

(1) Present Employer	Guam Army National Guard	Position	Behavioral Science Officer
Address	430 Army Drive Barrigada, 96913	Dates Held	July 2010 - Present
(2) Previous Employer	Family Pacific	Position	Individual, Marriage & Family Therapist
Address	472 Chalan San Antonio Ste 105 Tarr	Dates Held	March 2002 - August 2009
(3) Previous Employer	Marianas Behavioral Health Clinic	Position	Individual, Marriage & Family Therapist
Address	Guam Premier Outlets Tamuning, Gu	Dates Held	July 2000 - May 2002
(4) Previous Employer	Pacific Area Counseling Network	Position	Individual, Marriage & Family Therapist
Address	Bubas Street Mangilao, Guam	Dates Held	August 1995 - June 2000
(5) Previous Employer	**** Nothing else follows*****	Position	*****
Address		Dates Held	

PRIOR GOVERNMENT OF GUAM SERVICE

Agency	N/A	Phone
Address		
Job Title	From:	To

PROFESSIONAL INVOLVEMENT

List involvement on a local/national/international level, list organization, activities participated in, offices held:

Guam Suicide Prevention Task Force, Guam Legal Services Mental Health Advisory Panel,
Guam Systems of Care Council, Guam Mental Health Planning Council, Guam Developmental
Disabilities Council, National Association of Social Workers 2005 Guam Delegate to the National
Delegate Assembly in Washington DC, 2005-2006 National Association of Social Workers
Guam Chapter President

COMMUNITY / CIVIC INVOLVEMENT

List organizations, activities, participated in, offices held

Guam Association of Individual, Marriage & Family Therapists 1997 & 1998 President, Guam
Association of Social Workers 2000 Vice President, National Association of School Psychologists

PUBLICATIONS AND PRESENTATIONS

List any published articles, papers delivered at professional meetings, etc.:

N/A

MILITARY SERVICE (PLEASE ATTACH FORM DD-214)

Branch	Guam Army National Guard	From	07/2010	To	Present
Rank at Discharge	Current rank: Captain	Type of Discharge	N/A		

OTHER INFORMATION

(1) Have you ever been found guilty of a felony in any court, whether within or without the United States?

YES

NO

If so, please specify in detail:

Address of the court: _____

Date of Conviction: _____

Specific infraction committed: _____

(2) Have you ever been declared mentally incompetent by any court, whether within or without the United States?

YES

NO

If so, please specify in detail the reasons and facts related to such declaration:

(3) Have you ever been found not guilty or not punishable in any criminal proceedings by reason of insanity?

YES

NO

(4) Have you ever been confined to a mental institution for any reason?

YES

NO

If so, please specify in why the appointing authority believes you that you are not suffering from any mental illness or affliction.



32-14-2128
Office of the Speaker
Leath E. Won Pat, Ed.D

Date: 10/29/14
Time: 2:50
Revised:

Statement of Financial Interests
(Required by 4 G.C.A. § 13104.1)

TO: Governor Eddie Baza Calvo
Ricardo J. Bordallo Governor's Complex
Adelup, Guam 96910
Thomas C. Babauta

FROM: _____

- I have no financial interest in any business
- I do have interest(s) in the following business(es):

Name and address of business interest:	Type and amount of interest
The Office of Tom Babauta	Counseling Services ; 100% Sole Proprietor
#280 Pale San Vitores Rd. Suite #205	
Tamuning, Guam 96913	

Signature

10/27/2014

Date

AFFIDAVIT / DECLARATION UNDER PENALTY OF PERJURY

I, the undersigned, do hereby depose and say that: (1) I have read and reviewed the information contained in the attached Appointment/Nomination letter from the Governor of Guam; (2) that the matters contained in the Appointment/Nomination letter, together with all attachments thereto, are true and correct and that I am competent to testify to said matters; and (3) that this Declaration is made for the purpose of complying with the requirements of 4 G.C.A. Section 2103.5.

I declare under penalty of perjury under the laws of Guam (4 G.C.A. Section 4308) that the foregoing is true and correct.

Signature

A handwritten signature in black ink, appearing to be a stylized name with a long horizontal stroke extending to the right.

Date 10/09/2014



**Government of Guam
 GUAM POLICE DEPARTMENT
 RECORDS & IDENTIFICATION SECTION
 P.O. Box 23909
 Guam Main Facility, Guam 96921**



October 9, 2014

SUBJECT: CRIMINAL HISTORY RECORD

NAME:	Thomas Vincent Camacho BABAUTA		
DATE OF BIRTH:	██████████	FINGERPRINT#:	██████████
The individual has no record of criminal conviction(s) in GPD files that are subject to Guam law and rules and regulations of the Department.			

*****NOTHING FOLLOWS*****

THIS INFORMATION MAY BE LIMITED TO A LOCAL CRIMINAL OFFENSE ONLY AND IS NOT INTENDED FOR USE FOR ANY LOCAL, STATE, OR FEDERAL LAW ENFORCEMENT AGENCY

L

By Direction: katm

FRED E BORDALLO, JR.
 Chief of Police

The absence of an original GUAM POLICE seal invalidates this police clearance.
 REVISED 04/24/2011



SUPERIOR COURT OF GUAM

Guam Judicial Center • 120 West O'Brien Drive • Hagatña, Guam 96910

Telephone (671) 475-3370/475-3449

Fax (671) 472-2856

DANIELLE T. ROSETE
Clerk of Court

Name: THOMAS VC BABAUTA

SS#:

ID# GDL:



Date of Birth:



CERTIFICATE OF SEARCH

The undersigned Clerk hereby certifies the following results of a diligent search of the records of this Court:

Criminal Cases:

- A. No Case Found
- B. 1. Criminal Case No.
- 2. Criminal Case No.
- 3. Criminal Case No.
- 4. Criminal Case No.
- 5. Criminal Case No.

Civil Cases:

- A. No Case Found
- B. 1. Civil Case No.
- 2. Civil Case No.
- 3. Civil Case No.
- 4. Civil Case No.
- 5. Civil Case No.

Criminal Record: Page 1 of 1

Civil Record: Page of

Request for further information may be addressed at the Records Division of the Superior Court of Guam, Guam Judicial Center, 120 West O'Brien Drive, Hagatña, Guam. Hours of operation are Monday – Friday, 8:00 a.m. to 5:00 p.m. Closed Saturday, Sunday and local/federal holidays. Court Clearances are Non-Refundable.

Dated: 10/09/2014

DANIELLE T. ROSETE
Clerk of Court



The absence of an original Court Seal invalidates this document

BY  TAMMY L. PINAULA
Deputy Clerk

Prepared By: NAP



SENATOR DENNIS G. RODRIGUEZ, Jr., Chairman
 COMMITTEE ON HEALTH & HUMAN SERVICES, HEALTH INSURANCE REFORM,
 ECONOMIC DEVELOPMENT AND SENIOR CITIZENS
 Mina'trentai Dos Na Liheslaturan Guåhan • 32nd Guam Legislature

PUBLIC HEARING DATE / Friday, October 24, 2014 2:30pm

- The Executive Appointment of Thomas Babauta as a Member of the Guam Board of Social Work.

PRINT NAME	SIGNATURE	AGENCY	ORAL TESTIMONY	WRITTEN TESTIMONY	IN FAVOR	OPPOSE	CONTACT NUMBERS	EMAIL ADDRESS
Thomas Babauta	<i>[Signature]</i>	DOE	✓	✓	✓		777-7732	tcbabauta@gmail.com
Amy Sue B. Santos	<i>[Signature]</i>	NASW	✓	✓	✓		482-6157	amysue.santos@gmail.com
Barbara Bernardu	<i>[Signature]</i>	DOE			✓		688-4292	bgbernardu@gdoe.net
Lyn Sanniw (as)	<i>[Signature]</i>	DOE					689-5966	justlyn.sanniw@gdoe.net
Janay Crump	<i>[Signature]</i>	NASW			✓		482-2542	janay10@yahoo.com
Marie Antoinette Babauta	<i>[Signature]</i>	DOE			✓		689-0502	mmbabauta@gmail.com
Hope Pangelinan Cortez	<i>[Signature]</i>	GBSW	✓		✓			
Palomo-Burns, Gaudin	<i>[Signature]</i>		✓	✓	✓		487-2854	spalomoburns@gmail.com
Taitague, Triaca	<i>[Signature]</i>	DOE			✓		300-2274	tataitague@gdoe.net
LAMPA, WARDEN	<i>[Signature]</i>	DOE			✓		300-2274	wblampa@gdoe.net

Date: October 24, 2014 Time: 2:30p.m, Room: Legislature's Public Hearing Room

To: House Committee on Health and Human Services

Chair: Senator Dennis G. Rodriguez, Jr.

Vice-Chair: Senator V. Anthony Ada

From: Craig W. Burns, LSW

P.O. Box 5484

Hagatna, Guam 96910

(671) 487-2853

cwburns@hawaii.edu

Re: Public Hearing on Executive Appointment of Mr. Thomas C. Babauta to serve on the Guam Board of Social Work as a Social Work member.

Chairman, Vice Chairman, and Committee Members:

Thank you for the opportunity to testify in support of the appointment of Mr. Thomas C. Babauta, LCSW, to the Guam Social Work Licensing Board (hereafter referred to as "Board"). I am a currently licensed social worker, practicing social worker at the Department of Veteran's Affairs Home Based Primary Care Program, medical social worker at a local Health Services Agency, and President-elect of the Guam Chapter of the National Association of Social Workers. I support the licensing of social workers for reasons I've addressed in previous testimony before this committee, and it is long overdue that this fifth and final member of the Board be appointed. I want to take this opportunity to thank the committee for acting on this.

As a Licensed Clinical Social Worker (LCSW), member of the military, veteran, and member of other boards, Mr. Babauta's knowledge and experience will be a great asset to the Board. His LCSW credential will add a strong element to the Board as it moves forward with the vital job of licensing social workers and implementing the Exception/Exemption clauses of the law. As a LCSW, Mr. Babauta is held to the highest standard of knowledge and practice and is also obligated to comply with the National Association of Social Workers Code of Ethics.

Social Work is rooted in social justice and serving the underserved. On a daily basis, we witness people at their most vulnerable. It is our job and our calling to empower these individuals, groups, and communities to improve their condition and, through this, the condition of our families, villages, island, and the world. If this seems grandiose, it is not, and this is why the licensing of our profession is of such vital importance. We license doctors, lawyers, nurses, and other professions who work with the public. We must license social workers as well. Licensing helps protect the most vulnerable of our people. It protects the profession as well by providing a method of accountability social workers and for those who are in social work positions but do not have formal social work training. Social Work training provides a unique perspective from which to treat the vulnerable populations with which we work. As opposed to most other helping professions, we are trained to look at the "person-in-environment," by which I mean

we are trained to assess and treat not just the individual and her symptoms, as a psychologist or medical doctor, but also the bio-psychosocial and spiritual environments that affect our clients, whether they be individuals, families, communities, or the entirety of Guam. We know and are trained to realize how the disparate variables affect the individual, and vice-versa, and we are called by our Code of Ethics to advocate for this.

It is with this perspective in mind that I again express my strong support for the appointment of Mr. Thomas C. Babauta to the Guam Social Work Licensing Board.

Thank you for your time and consideration.

Craig W. Burns, LSW
President-elect
National Association of Social Workers, Guam Chapter



Joe Mesnyon <joe@toduguan.com>

Fwd:

1 message

Dennis G. Rodriguez, Jr. <senatordrodriguez@gmail.com>
To: "<joe@toduguan.com>" <joe@toduguan.com>

Mon, Oct 20, 2014 at 2:54 PM

Dennis G. Rodriguez, Jr.
www.toduguan.com

Begin forwarded message:

From: christine <baes.christine@gmail.com>
Date: October 20, 2014 at 1:59:47 PM ChST
To: senatordrodriguez@gmail.com

October 20, 2014

I, Christine Santos Baes a Social Worker at Catholic Social Service Karidat program and a Treasure of National Association for Social Worker on Guam, fully support Mr. Tom Babauta as one of the Guam Social Work Board member. I have been waiting for this day. This is a very important event for me, so that the board can start licensing social workers on Guam. I thank Senator Dennis Rodriguez in pursuing our endeavors in having our fifth board member.

I also ask Senators from the legislature to please take a look into the expiration of term service for other board members from Social work board. As stated on Public Law 31-250 of the Guam Social Work Practice Act (122307. Page 8 line 8) "Term of office. Members of Board shall be appointed for a term of three (3) years." The legislature have appointed Social work board in different dates. I hope that the Legislature look in this gap terms of service for other social work board members.

Christine Santos Baes

Social Worker

email: baes.christine@gmail.com

" No matter who you are in the eyes of others you need Christ. And no matter what you have done, He loves you and stands ready to welcome you.- Hope for Each day by Billy Graham"

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National Association of Social Workers

Guam Chapter P.O. Box 2123 Hagatna, Guam 96932 Telephone Number (671) 747-7948 (c)

October 23, 2014

Senator Dennis Rodriguez, Jr.
Chairman
Committee on Health & Human Services, Insurance Reform,
Economic Development and Senior Citizens
176 Serenu Avenue Suite 107 Tamuning, Guam 96931

Dear Senator Rodriguez:

My name is Amy Sue B. Santos and I am the current President of the National Association of Social Workers (NASW), Guam Chapter. My testimony today is in support of the confirmation for Mr. Thomas C. Babauta for the Guam Social Work Board. Mr. Babauta possesses the social work knowledge, skills and values that will be an asset to the Guam Social Work Board. Mr. Babauta has a Masters of Social Work and has the following licenses: Qualified Clinical Social Worker, Academy Certified Social Worker, Licensed Clinical Social Worker, and Individual Marriage and Family Therapist.

Mr. Babauta is currently the Coordinator of the Program for students with Emotional Disabilities. He is integral in ensuring that the services that these children need are provided in the schools. Mr. Babauta has been on the NASW-Guam Chapter Board of Directors and holds the social work community close to his heart. He is also in the Guam National Guard as their Behavior Science Officer.

NASW-Guam Chapter is proud to support Mr. Thomas Babauta for the Guam Social Work Board and are optimistic that you will allow him the chance to serve on this board.

NASW-Guam Chapter would also like to inform the body that the terms for the other individuals on the board are coming to an end soon and it is imperative that this appointment be confirmed as soon as possible so the board could meet and begin their very important work of licensing social workers on Guam.

Please do not hesitate to contact me at 482-6157 if you have any questions or concerns.

Thank you for your continued support of the Social Work profession and we look forward to working with you and your staff to protect our consumers.

Sincerely,

A handwritten signature in black ink, appearing to read "Amy Sue B. Santos".

Amy Sue B. Santos, MSW
President
National Association of Social Workers-Guam Chapter

Hafa Adai, my name is Thomas Babauta and I am a social worker. I am seeking support of my nomination to the Guam Board of Social Work. The NASW code of ethics and practice guidelines provide practice parameters in the field of social work. The primary mission of the social work profession is to enhance human well-being and help meet the basic human needs of all people. The mission of the social work profession is rooted in a set of core values: service, social justice, dignity and worth of the person, importance of human relationships, integrity, and competence.

The licensure process provides the checks and balances to ensure that social workers adhere to the NASW code of ethics. Should a practitioner operate outside the guidelines set forth, a process is not in place to ensure compliance to include sanctions if warranted. As the last entity in the country to move towards the licensure process, social workers on Guam are now held to national standards.

I would like to give you a quick overview of my professional experience.

In September 1994 I returned to Guam after being off-island completing my BSW and MSW programs. Although I was offered a position at the Psychiatric Hospital where I did my internship, my desire was always to return home to begin my career.

In my 20 year career I have been licensed in 3 states as a social worker. Until recently, any social worker seeking certification/licensure had to acquire it via an off-island mechanism. In my attempt to meet national standards I pursued the Academy of Certified Social Workers (ACSW), Qualified Clinical Social Worker (QCSW), and Licensed Clinical Social Worker (LCSW). This required testing that was only accessible off-island and at a great expense.

During my tenure as NASW Guam Chapter President over a decade ago, I participated in discussions regarding increasing standards for social workers locally. Dr. Vivian Dames and Dr. Gerhard Shwab were instrumental in promoting the implementation of licensure on Guam. I want to ensure that they are credited for their work.

I often tell my clients, that we are like pieces of clay and every experience that we have molds and shape us into the person that we are today. I have had many experiences both personal and professional, which have shaped me into the individual I am today. The foundation of respect, perseverance, a strong work ethic, dedication, flexibility and compassion was instilled early in my life by my parents. I often think of my dad repeating to respect everyone, he would say "son, I want you to respect everyone older than you, younger than you, those who have more than you, and those who have nothing, etc...." and also taught me not only to give respect, but to command it. Those lessons have resonated throughout my life and paid dividends. Those characteristics are the cornerstone

of my career. If I can sum my life up into one quote it would be Reba Mc Entire's quote, "to succeed in life, you need three things: a wishbone, a backbone, and a funny bone."

In addition to my direct practice experience as a social worker, as a clinical social worker, as a school-based social worker, and as a supervisor of social workers, I have a long history of community involvement.

I have served as the Chairman of the Developmental Disabilities Council, Vice President of the Guam Association of Social Workers, President and Vice President of the NASW Guam Chapter, NASW Delegate to National Assembly, member of the System of Care Council, and member of the Mental Health Planning Council. I was the 2006 NASW Guam Chapter Social Worker of the Year. At the age of 39, I commissioned as the first Behavioral Health Officer for the Guam Army National Guard and volunteered to deploy to Afghanistan in support of Operation Enduring Freedom with Task Force Guam in 2013.

The combination of professional experiences coupled with my knowledge base, value base, and skill base make me a prime candidate for the Board. I continually strive to improve my craft borrowing from the Army AAR (After Action Review). I constantly evaluate what I do well and modify areas that need improvements.


10/24/14



COMMITTEE ON RULES

I Mina'trentai Dos na Liheslaturan Guåhan • The 32nd Guam Legislature
155 Hesler Place, Hagåtña, Guam 96910 • www.guamlegislature.com
E-mail: roryforguam@gmail.com • Tel: (671)472-7679 • Fax: (671)472-3547

Senator
Rory J. Respicio
CHAIRPERSON
MAJORITY LEADER

Senator
Thomas C. Ada
VICE CHAIRPERSON
ASSISTANT MAJORITY LEADER

Speaker
Judith T.P. Won Pat, Ed.D.
Member

Senator
Dennis G. Rodriguez, Jr.
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Vice-Speaker
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Member

Senator
Michael F.Q. San Nicolas
Member

Senator
V. Anthony Ada
Member
MINORITY LEADER

Senator
Aline Yamashita
Member

October 16, 2014

MEMORANDUM

To: **Rennae Meno**
Clerk of the Legislature

From: **Senator Rory J. Respicio**
Chairperson of the Committee on Rules

Subject: **Referral of Appointment**

As Chairperson of the Committee on Rules, I am forwarding my referral of the following appointment:

Appointee: **Thomas C. Babauta**
Position: **Social Worker Member, Guam Board of Social Work**

For a copy of Mr. Babauta's appointment packet, please visit the Guam Legislature's website at www.guamlegislature.com. Under the "Reports" menu select the "Messages & Communications" option and refer to:

"Doc. 32GL-14-2128: Governor's message transmitting the appointment of Mr. Thomas C. Babauta, to serve as Social Worker Member, Guam Board of Social Work."

Please ensure that the subject appointment is referred, in my name, to **Senator Dennis G. Rodriguez, Jr., Chairperson of the Committee Health & Human Services, Health Insurance Reform, Economic Development, and Senior Citizens**. I also request that the same be forwarded to all Senators of *I Mina'trentai Dos na Liheslaturan Guåhan*.

Should you have any questions, please feel free to contact our office at 472-7679.

Si Yu'os ma'åse'!



Joe Mesngon <joe@toduguan.com>

FIRST NOTICE of Public Hearing on Bill 412-32 & Confirmation Hearing on Thomas C. Babauta

2 messages

Nicole Ramos <nramos.senatorrodriguez@gmail.com>
To: phnotice@guamlegislature.org

Fri, Oct 17, 2014 at 1:45 PM

October 17, 2014

MEMORANDUM

To: All Senators, Stakeholders and Media

From: Senator Dennis G. Rodriguez, Jr.

Subject: FIRST NOTICE of Public Hearing on Bill 412-32 & Confirmation Hearing on Thomas C. Babauta

Hafa Adai!

The Committee on Health and Human Services, Health Insurance Reform, Economic Development, and Senior Citizens will be conducting a hearing on **Friday, October 24, 2014 at 2:30 P.M.** in the Legislature's Public Hearing Room.

The Committee will hear and accept testimony on:

1) Executive Appointment of Mr. Thomas C. Babauta to serve on the Guam Board of Social Work as a Social Work member; &

1) Bill 412-32 (COR)- An Act to amend Title 10, Chapter 3, Article 2 of the Guam Code Annotated to add a new subsection 3218(a)(25) to require abortion providers to include gestational age in abortion reports. (Introduced by Sen. Frank B. Aguon, Jr./Sen. Dennis G. Rodriguez, Jr.)

Written testimonies may be addressed to Sen. Dennis G. Rodriguez, Jr. and submitted via email to senatorrodriguez@gmail.com, or deliver to 176 Serenu Ave. Suite 107, Tamuning or 155 Hesler Place, Hagatna, Guam.

Individuals requiring special accommodations are asked to contact the office of Sen. Rodriguez no later than 48 hours prior to the hearing by calling 649-8638/0511.

Si Yu'os Ma'ase'!

Alex Silverio <Alex.Silverio@dphss.guam.gov>

Mon, Oct 20, 2014 at 10:02 AM

To: Nicole Ramos <nramos.senatorrodriguez@gmail.com>, "phnotice@guamlegislature.org"

<phnotice@guamlegislature.org>

Cc: Alex Silverio <Alex.Silverio@dphss.guam.gov>, "Roselie V. Zabala" <Roselie.Zabala@dphss.guam.gov>

Hafa Adai, Ms. Nicole,



Joe Mesngon <joe@todugam.com>

SECOND NOTICE of Public Hearing on Bill 412-32 & Confirmation Hearing on Thomas C. Babauta

1 message

Nicole Ramos <nramos.senatorrodriguez@gmail.com>

Tue, Oct 21, 2014 at 11:18 AM

To: phnotice@guamlegislature.org

Cc: Senator Rodriguez <senator@todugam.com>, Menchu Suarez <menchu@todugam.com>, Natasha Cepeda <natasha@todugam.com>, Joe Mesngon <joe@todugam.com>

October 21, 2014

MEMORANDUM**To: All Senators, Stakeholders and Media****From: Senator Dennis G. Rodriguez, Jr.****Subject: SECOND NOTICE of Public Hearing on Bill 412-32 & Confirmation Hearing on Thomas C. Babauta**

Hafa Adai!

The Committee on Health and Human Services, Health Insurance Reform, Economic Development, and Senior Citizens will be conducting a hearing on **Friday, October 24, 2014 at 2:30 P.M.** in the Legislature's Public Hearing Room.

The Committee will hear and accept testimony on:

1) Executive Appointment of Mr. Thomas C. Babauta to serve on the Guam Board of Social Work as a Social Work member; &

1) Bill 412-32 (COR)- An Act to amend Title 10, Chapter 3, Article 2 of the Guam Code Annotated to add a new subsection 3218(a)(25) to require abortion providers to include gestational age in abortion reports. *(Introduced by Sen. Frank B. Aguon, Jr./Sen. Dennis G. Rodriguez, Jr.)*

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Individuals requiring special accommodations are asked to contact the office of Sen. Rodriguez no later than 48 hours prior to the hearing by calling 649-8638/0511.

Si Yu'os Ma'ase!

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As of October 2, 2014**

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As of October 2, 2014**

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SENATOR DENNIS G. RODRIGUEZ, JR.

PUBLIC HEARING AGENDA

Friday, October 24, 2014

2:30pm

Public Hearing Room, *I Liheslatura*

I. Call to order

II. Items for public consideration:

- **Bill 412-32 (COR)-An act to amend Title 10, Chapter 3, Article 2 of the Guam Code Annotated to add a new subsection 3218(a)(25) to require abortion providers to include gestational age in abortion reports. Introduced by Sen. Frank B. Aguon, Jr.**
- **The Executive Appointment of Thomas Babauta as a Member of the Guam Board of Social Work.**

III. Adjournment

Thank you for your participation in today's hearing.